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## REVIEW ARTICLE

# A STUDY OF SHIP BALLAST WATER TREATMENT TECHNOLOGIES AND TECHNIQUES

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## ABSTRACT

Ballast is a commonly used solution to ensure the stability and balance of ships during voyages. The volume of ballast water that is circulated by ships between different seas is extremely large, with which a lot of creatures move along. Organisms that follow the ballast water to a new environment mostly have a negative impact on the ecosystem there. Good ballast water management is an important measure to ensure that organisms do not migrate with the ballast water to other areas. Ballast water management requires specific regulations and regulatory policies that are relevant and applicable globally. The International Convention on the Control and Management of Ballast Water and Ship Sediments, adopted in 2004, has specified issues for ballast water management. Ballast water treatment technologies and techniques need to be studied so that the ballast water after treatment meets the requirements of the convention. This paper presents an overview of the technological and technical solutions currently being applied to treat ballast water to meet the requirements of the convention and points out the advantages and limitations of each solution. In the discussion, the authors also present a solution that combines many different ballast water treatment methods. This is a very effective solution, both technically and cost-effectively. The combined solution can be applied in the future to effectively treat ship ballast water.

### KEYWORDS

ballast, technologies, techniques, treatment, combined solution

## 1. INTRODUCTION

Ships transport a huge amount of goods between countries and territories. The benefits that this medium brings are huge (Vakili et al., 2022). However, there are problems associated with that benefit, such as low travel speed, environmental pollution from fuel used for ships' engines or cargo on board, and ballast water. In order for ships to become a highly efficient means of transport, extensive studies on this vehicle are needed. Compared with the means of land and air, ships move much slower. Research and numerical simulation to find effective solutions to improve the speed as well as related factors have been shown by many studies (Surendran and Reddy, 2003; Chau et al., 2020; Li et al., 2020; Khalaf, 2021; Nam, 2020). Good solutions to improve ship speed can be mentioned in related studies such as research on suitable propulsion systems, studies showing the influence of currents on objects, and studies on the effects of hull shape on aerodynamic performance (Theotokatos and Tzelepis, 2015; Pham, 2020; Chau et al., 2020; Cuong and Phuong, 2020; Nguyen, 2020; Van and Trong, 2020). Ships use a lot of fuel for engines during trips, the research to find suitable fuels for engines used on ships is also promoted (Zhou et al., 2019; Pham, 2018).

Studies on efficient catalysts for engine fuels and investigations of fuel injection problems suitable for marine engines also help in the efficient use of fuel for ship engines (Zhu et al., 2012; Nguyen et al., 2020; Andreadis et al., 2010; Hoang, 2020). Studies have also shown potential fuels to replace fossil fuels that ships are using, such as biodiesel, alternative fuel (Noor et al., 2018; Hwai, 2021; Debnanth et al., 2015; Nguyen et al., 2021).

Renewable energy is now also being researched to make the most of and efficiently use energy sources (Nguyen, 2020; Amrouche et al., 2016; Hoang, 2018; Baldi et al., 2019; Nguyen et al., 2021). Efficient energy management is a sustainable strategy (Cetin and Sogut, 2021; Nguyen et al., 2021). At the same time, before the impact of the COVID-19 pandemic, the transition to renewable energy in the global energy system and the high demand for clean fuel are inevitable (Dell and Jrand, 2001; Nizetic, 2021; Grandell et al., 2016; Nguyen et al., 2021). The large use of fuel by ship engines also leads to the problem of emissions from the engines that are harmful to the environment.

There have been studies on emission reduction techniques, such as using electric propulsion systems (Ni et al., 2020; Nguyen, 2021). Technology and techniques to reduce emissions have also been studied (Pham, 2019; Natale and Carotenuto, 2015; Vinayagam, 2021). During the COVID-19 pandemic, there were studies showing a decrease in CO<sub>2</sub> and NO<sub>2</sub> emissions (Nguyen et al., 2021; Liu, 2020; Huynh et al., 2021). In addition, environmental pollution caused by ships is another big challenge. Pollutants to the environment, such as oil leaks from ship engines, oil spills in ship accidents, heavy metals in fuel or cargo on board, leak into the environment (Chen, 2022). To solve this problem, there have been many studies to remove environmental pollutants, such as heavy metal removal research, research on oil spill removal equipment, and extensive research on spill absorbers (Nizetic, 2022; Ventikos, 2004; Nguyen et al., 2021; Le, 2018; Shadizadeh et al., 2014; Hoang et al., 2018; Nguyen et al., 2022).

As mentioned above, ballast water is also a matter of concern. Thanks to

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the suitable applications, ballast water plays an important role in the operation of ocean transportation such as cargo handling, ship maneuvering, or displacing cargo operations (Council, 1996). The quality of ballast water, which mostly be taken directly from seawater, is usually decreased because of its friction with different kinds of surfaces. As the consequence, the activities of displacing and replacing wasted ballast water to the ocean directly harm the marine surroundings (Saltzman, 1997; Scriven et al., 2015). Moreover, ballast water in some cases can be the main reason responsible for the destruction of ecology while causing negative effects on economic and human health (Cao et al., 2014). In ballast water, there may be oil from an oil leak between the oil tank space and the ballast water tank. Ballast water containing oil is discharged into the environment, causing serious impacts on the ecosystem.

Absorbent materials or equipment for treatment of oil-containing water are the solution to this problem (Nizetic et al., 2021; Chau, 2018; Pham, 2021; Chau et al., 2021). Ballast water is considered the main reason for the unexpected invasion of exotic organisms into new habitats (Williams et al., 1988; Cariton and Geller, 1993; Ruiz, 2000). In efforts to reduce and prevent negative effects of ballast water in mentioned problems, the international community agreed to the "International Convention for the Control and Management of Ships' Ballast Water and Sediments" in 2004 (IMO, 2004). Requirements such as the specific quality and composition of ballast water will be strictly controlled. Many physical and chemical processing processes are studied to best meet the above requirements.

Annually, about 10000 Mt of ballast water is rotated through the activities of marine transportation. During the procedures of ballast water, it is inevitable that thousands of alien organisms enter new marine environments every day thereby causing negative effects on the economy and environment (Council, 1996; Bax et al., 2003; Le et al., 2021). A group researcher claimed that over 140 exotic organisms have been revealed to the Great Lakes of North America (Mills et al., 1993). Not only Great Lakes, but ballast water is also the main driver of the release of foreign organisms into new habitats in other US and Canadian waters (Parsons, 2003). According to the calculation of Ribera and Boudouresque [66] in research, Zebra mussel damaged 5000\$ throughout the period of 1986 to 1995 by fouling the fishing nets, boat hulls, and buoys as well as clogging up the water intakes system of constructions adjacent to the sea (Ribera and Boudouresque, 1995).

In other events, coast comb jellyfish, Asian clam, and European crab invade the American Atlantic coast and destroy fisheries in several regions (Council, 1996; Bax et al., 2003). While displacing and replacing cargo, the tanks of the ship are filled with ballast water. Untreated ballast water brings along many living microorganisms, phytoplankton, zooplankton, etc and without any powerful treatment, these foreign species from ballast water are becoming one of four greatest threats to the world's oceans besides other land-based pollutants, overexploitation of marine resources, and direct destruction of coastal and ocean surroundings. Maximum viable organism concentrations in released ballast water, with viable organisms defined as those capable of effectively generating new living entities, were constituted by the D-2 regulation accordingly Ballast Water Performance Standard (IMO, 2018). The ballast water management system is considered the prerequisite to getting the standards according to the D-2 regulation. The BWMS must be authorized by the Government in accordance with the D-3 rule (Approval procedures for Ballast Water Management Systems).

The licensing procedure for ballast water management systems included land-based and onboard tests to determine the organism's removal effectiveness of the BWMS and its environmental acceptance; land-based testing referred to a test of the BWMS conducted in a laboratory, equipment company, or pilot plant (IMO, 2018). Until September 2020, among the eighty-four BWMSs accepted, most of them have been approved, including filtration or mechanical separation progress followed by physical or chemical treatments, or a mix of the two (IMO, 2020; Tsolaki and Diamadopoulou, 2010; Davidson et al., 2017). Although there are many different processing methods such as ozone or deoxygenation, the two most common treatment methods included UV treatment and electrochlorination treatment that were 40 systems and 22 systems respectively (Gerhard et al., 2019; Hess-Erga et al., 2019; Le et al., 2020). The treatments might well be administered throughout the ballasting and/or deballasting process, as well as during the cruise.

The BWM Convention requirements began to be implemented in September 2017 to gradually phase out the D-1 standard in favor of the D-2 standard by September 2024 (MEPC.287 (71)). Mandatory compliance with regulations D-1 and D-2 by shipowners of the BWM Convention will result in increased operating and additional costs (ABS, 2014; Nguyen et al., 2004; Sekimizu, 2021). Especially for the D-2 standard on the

installation of ballast water treatment systems. This system will cost additional money in connection with the installation, operation, and maintenance of the ballast water treatment system. In 2015, shipowners' trade associations highlighted concerns about equipment and approval procedures after the IMO published its final report on the implementation of the standard D-2 of the BWM Convention (MEPC 69/4/4). Shipowners also expressed concern about the stability of the ballast water treatment system as well as fear about the time-consuming treatment system approval process.

These concerns are believed to stem from the very limited number of ballast water systems approved by IMO at the time of publication. In order to ease this anxiety, the MEPC.290 (71) (2017) resolution was announced by the Maritime Environment Protection Committee, with this resolution, this agency gave "the experience-building stage attached to the BWM Convention". The purpose of the resolution was to gather information about the various D-2 regulation related to devices and their function under real-world conditions. These research are very important for improving, evaluating, and ranking the different technologies on the market and giving ship owners more options for BWTS.

The ballast water exchange (BWE) criterion was considered according to the D-1 regulation while ballast water productivity standards after discharge from ships are specified by the D-2 regulation. It could be seen that the ballast water exchange was not a good solution to eliminate the spread of aquatic acicolous and in order to improve this problem, BWS is considered as an effective method to fill in the shortcoming of BWE. As a part of Ballast Water Management Standards (BWMS), BWS determined the quantity and concentrations of indicator microorganisms in ballast water that must be met before disposal. More specifically, to manage the discharge ballast water, the Ballast Water and Sediments Management Plan were requested for all ships around the world. According to the plan, all ships had to bring and take detailed notes on the Ballast Water Record Book. IMO performed the regulations via 15 guidelines (G1 - G15) according to BWM Convention.

This was supposed to help achieve coherence in the approval process. Specifically, in these 15 guidelines, 2 guidelines G9 and G10 have provided information related to the use of active substances for the safe treatment of ballast water, ensure safety related to human health and marine environment, and new technologies for treating ballast water effectively, respectively (IMO, 2014). With such guidelines, ship owners must install ballast water treatment systems for international ships. Currently, according to IMO guidelines, there are many approved ballast water management systems (BWMS), ship owners can completely choose the right one for each area and different circumstances.

As of November 2019, the IMO has granted final clearance to 45 ballast water management system, according to Jang et al. These include electrolysis systems (22 BWMS), chemical eruptions systems (13) and UV systems (6 BWMS), as well as ozone systems (4 BWMS) in the permitted BWMSs (IMO, 2019). According to the IMO classification, these systems are divided into two categories: active systems and non-active systems. The ballast water treatment methods onboard a ship vary according to the geographical region of the journey, the physical-chemical qualities of seawater, and the kind of organisms present. To eliminate, make harmless, or reduce the absorption or release of dangerous aquatic animals and diseases contained in ballast water, ballast water management used a variety of mechanical, physical, chemical, and biological methods, either alone or in combination.

In this article, we will highlight some ballast water management systems based on the D-2 standard issued by IMO. The article will be divided into 5 parts, in the next section, the paper will consider the literature review about ballast water treatment systems. In part 3, some models of ballast water treatment will be presented based on 2 types of active ingredients and non-active substances. And part 4 of the paper will discuss the benefits of these treatment models. The last part will be the conclusion of the paper.

## 2. LITERATURE REVIEW

Flow-through and empty/refill are considered as two main ways of the ballast water exchange. When the ballast water of the ship is released, these are two cost-effective methods of exchanging it in order to comply with Ballast Water Management Convention (BWMC) rule D-1. Ballast discharge activities, both at sea and in port, were subject to this requirement. In order to have a more detailed understanding of these 2 methods of ballast water discharge, as well as compare the quality of ballast water according to D-2 standard compared with the 2 discharge methods in accordance with D-1 standard. We have introduced strict

requirements on ballast water quality are allowed to be discharged by IMO in Table 1.

Table 1: IMO standards for ballast water discharge (Rivas-Zaballos et al., 2021)	
Organisms size/ Indicator microbes	Concentration
Organisms size	
Greater or equal to 50 µm in minimum dimension	Less than 10 viable organisms per m <sup>3</sup>
Between 10 and 50 µm in minimum dimension	Less than 10 viable organisms per mL
Indicator microbes	
Vibrio cholerae (O1 and O139)	Less than 1 colony forming unit per 100 mL or less than 1 cfu per 1 g (wet weight) zooplankton samples
Escherichia coli	Less than 250 colony forming units per 100 mL
Intestinal enterococci	Less than 100 colony forming units per 100 mL

A ship's ballast tanks must be emptied and replenished sequentially as part of the empty/refill procedure, often known as the sequential technique or empty/refill method. This method requires the ballast tanks to be drained, emptied and then refilled. Bow-flare slamming may occur if specific tanks are emptied, leading to a loss of stability, a rise in ship structural stresses, and/or a decrease in forwarding drafts. The level of ballast water amplitude in ballast water spaces should also be considered and required to provide stability to the ship during navigation, this is similar to ballast water during cargo handling. Through a pump system and onboard piping systems, the empty/refill method is implemented. This pumping system will be responsible for sucking all the ballast water in the storage compartment to the sea, then thanks to this pumping system, the ballast compartments will be filled with water to stabilize the ship. Ballast water should be drained until there is no longer any suction in the ballast tanks, according to IMO norms.

The stability, endurance, draft, and trim of a ship may be affected by major changes in loading and unloading circumstances during full tank ballasting processes. It has been shown that exchange efficiency levels between 70% and 90% are more feasible according to studies mentioned by Dames and colleagues (Moore, 1999). This strategy would also be less effective in eradicating non-indigenous species, according to a study, since ballasting procedures would not remove much dirt and creatures at the bottom of tanks (Hay et al., 1997). With this ballast water change, there is always the risk of sediment being deposited and the possibility of organisms surviving and growing inside the ballast before being discharged. More specifically, 95% is the number required to be achieved during the emptying of the ballast water chamber before the ballast water is re-injected into the hold in accordance with BWMC Regulation D-1 of 2004 (Qi and Eames, 2015). For the same level of seawater disinfection, the slit kind of geometry uses 40 percent less energy than the cylindrical form (Badve et al., 2015).

The flow-through is considered the second method. In this way, the ballast water instead of being discharged/pumped one way will be stored in another area. When necessary, this reserve water will be arranged to overflow through the ballast water space according to the research of (Kalnina and Romule, 2020). The advantage of the method is that the ship's stability is always guaranteed, but the limitation of this method is there must be a ballast water storage tank, or it is always in excessive condition for permission. According to calculations, with this method, the amount of water injected into the ballast water tank will have to be increased 3 times to achieve the 95% required by the D-1 standard. For this method, the pump and discharge system are two separate systems. Using the sea chest's common water intakes, water is transported to the tanks and used throughout the facility. Ventilators and manholes on the ship's weather deck are used to remove water from the ship.

In addition to the theoretical calculations, Rigby and Parsons et al., undertook some field experiments (Rigby, 1995; Parsons et al., 1998). The results suggest that the 95% figure recommended by the standard D-1 of IMO needed to be revised if the volume of water 3 times the volume of the compartment is pumped through the ballast water chamber. In addition,

the discharge of ballast water has several other strict regulations on the location of ballast discharge to avoid affecting the marine environment of a country. This number is usually required to be 200 nautical miles from the port that the vessel will dock in, some places require this number to be 50 miles along inland routes (Murphy, 2013). Ballast water exchange efficiency by two methods is given in Table 2.

Table 2: Water exchange efficiency for empty/refill and flowthrough ballast water exchange			
Mode of exchange	Tank	Water Exchanged (%)	Reference
Flow-through	1	63.2	(Gregg et al., 2009; Rigby and Hallegraef, 1993)
Flow-through	2	86.5	
Flow-through	3	95.0	
Flow-through	3	90.0, 99.0	(Taylor and Bruce, 2000)
Flow-through	1	86.0 – 96.0	(Villac, 2000)
Flow-through	3	>99	(Taylor et al., 2007)
Flow-through	2	>99	
Empty/refill	1	95.0	(Miller, 1998)
Empty/refill	1	95.0-99.0	(Zhang and Dickman, 1999)
Empty/refill	1	86.0	(Locke et al., 1993)

Given the two basic methods of ballast water exchange, it is clear that both of these methods discharge untreated ballast water. As a result, IMO has announced BWMC as an international treaty (IMO, 2004). By September 2017, 75% of the world's fleet by tonnage had signed on (IMO, 2004). The treaty mandates that all ships have a ballast water management plan, a ballast water record book, and an international certificate for managing ballast water in international waters. Instead of performing ballast water changes according to D-1 standards, ships will now have to be equipped with a ballast water treatment system according to D-2 standards to treat ballast water before being discharged into the environment. Furthermore, the IMO's Guideline 8 should be followed when developing and approving ballast water treatment systems (BWTSs). Additional protocols (IMO Guideline 9) must be created for the management of active substances in case these chemicals have detrimental impacts on the vessel or receiving environment (Hess-Erga et al., 2019). Based on the requirements for the quality of the discharged ballast water as well as the requirements for the ballast water treatment system standards onboard ships, in the next chapter, the article will highlight some of the ballast water treatment systems that have been Research based on previously published studies. These systems will be outlined and classified according to IMO Guidelines 8 and 9. Includes treatment systems that use active ingredients and systems that do not use active ingredients.

### 3. BALLAST WATER TREATMENT TECHNOLOGIES

There are many techniques to effectively treat ballast water. Therefore, it is essential to have a standard to choose the right technologies. Mechanical and chemical method was 2 ballast water treatment method was classified (Apetroaei et al., 2018). For mechanical ways included filtration, sophistication, sedimentation and flotation methods, coagulation-flocculation that were utilized in the ocean to solve the water ballast before it released. On the other hand, the chemical methods included chlorinated water, electrolysis, ozone, advanced oxidation, or pH management. Another divide treatment way is considered wider than based on the vessel location that is notified (Tsolaki and Diamadopoulou, 2010). In this research, the authors broached 3 treatment methods to solve the ballast water consisting of after unloading cargos at the port, before unloading cargos, and using chemical active substances. In most cases, the methods utilized for treating ballast water are adapted from those employed in urban and other industrial applications. However, their utilization is hampered by fundamental variables like space, cost, and effectiveness (concerning the IMO released ballast water regulations). Solid-liquid split and sterilization were 2 technologies that were utilized in the ballast water treatment procedure. According to the authors, trade ballast water treatment systems involved two or more treatment phases, the first of which was a solid-liquid split stage followed by disinfection. Figure 1 below demonstrated the information about this system.

In this article, we divided ballast water treatment methods in two directions: non-active substances systems, active substances systems accordingly IMO. According to the IMO update, by November 2021, a total

of 47 Ballast water management systems using Active Substances have received Final Approval from IMO. The (IMO) has certified 22 systems that utilized active substances in compliance with the 2016 Guidelines (G8) or the BWMS Code, and 29 systems that were using active substances in accordance with the requirements (G8). Electrolysis, Advanced oxidation, and Ozone were some of the most notable measurements of systems that were utilized active substances. UV (ultra-violet), filtration, deoxygenation, and heat are some of the most prominent measures of the systems that did not use the active substance (Gerhard et al., 2019). There were significant differences in the procedures for approving Conventional Ballast Water Treatment systems for these two systems.

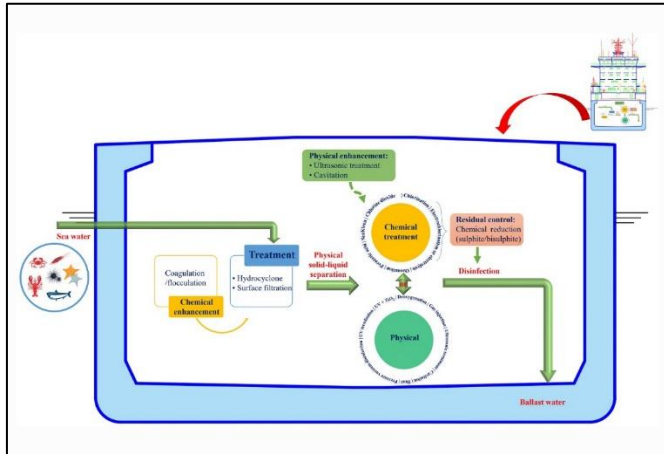


Figure 1: Overview of the general BWT technology process (LR, 2015)

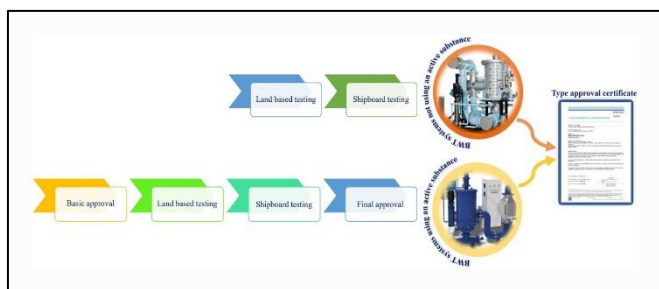


Figure 2: Steps for approval of BWT in accordance with the Convention (Vorkapic et al., 2016)

### 3.1 Non-active substances systems

Ultraviolet (UV) was the method in which ultraviolet light was used to subtract the aquatic organism in ballast water. This method is considered that had high costs because the costs associated with installation and operation are very large. Additionally, vessels fitted with this system also required a large enough power supply to keep the system running. The light of organic molecules known as DNA would be absorbed by UV technology, and as a result, its applicability as a sterilization therapy is well-known (Su et al., 2014; Hijnen et al., 2006). A variety of catalysts or oxidants could be photo-activated under ultraviolet light, it leading to the Advanced Oxidation Process (AOP), which employs strong oxidizing radicals (mostly OH) that react instantly with microorganisms in water such as plankton, microalgae, and other microorganisms (Moreno-Andres et al., 2017). The 3 plankton species include *Phaeodactylum tricornutum* ( $8 \times 3 \mu\text{m}$ , oval), *Synechococcus* sp. (from 2 to 3  $\mu\text{m}$  in diameter, spherical) and *Anabaena* sp. ( $3.3\text{--}9.5 \times 2\text{--}6.3 \mu\text{m}$ , fibrous) has been studied and evaluated (Lewin et al., 1958; Olenina et al., 2006; Prasanna et al., 2006; Rivas-Zaballos et al., 2021). These were 3 species of organisms that are less than 10  $\mu\text{m}$  in size. The authors focused on the inactivation effect of the UV treatment in a potential standard test organism (STO).

The results show that *Synechococcus* sp is the least affected species of UV rays. Despite its slow growth rate, the high UV objection of *Synechococcus* sp., combined with the productivity and widespread distribution of this species throughout the world, as well as the ecological significance of this species, all serve as compelling arguments in favor of considering this species as a valuable STO for ballast water treatment advancement in the UV treatment process (Romero-Martínez et al., 2021). The authors proposed a solution to increase the bacteria treatment capacity of the UV method. The results of this study suggested that the addition of hydrogen peroxide or peroxymonosulfate salt increased the microbial inactivation

effect of the UV method for ballast water treatment. The experimental target here is the microalgae *Tetraselmis suecica*. The results showed that each of these chemicals when given at a concentration of 10 ppm, lowered the initial cell concentration by more than 96 percent, enhanced the UV inactivation rate, and allowed the treatment to achieve a higher degree of inactivation.

As a result of these enhancements, the UV necessary to maintain continuous compliance with BWMC guidelines would be reduced. With this reduction, the ability to reduce the load on the ship's power source would partly reduce the costs associated with the ballast water treatment system. According to another study conducted by Shi et al., a new ballast water treatment device (UVOM) was developed for the treatment of ballast water (Shi, 2020). UVOM's primary equipment included a membrane filtration, connection pipes, a UV catalytic  $\text{TiO}_2$  unit, and an ozone producer as part of its overall design. The effects of salinity, stream velocity, and UV intensity on progress efficiency was studied to see how they affected the process. The results showed that salinity had less of an influence on the removal effectiveness of UVOM, and that flow velocity and UV strength were more essential factors in the removal process. In order to satisfy the D-2 standard, for a flow rate not exceeding 200 L/h the UV intensity was 50W while the UV strength will increase to 75 W if the flow rate exceeds 400 L/H. Furthermore, the findings revealed that the relative value of flow rate (65.96 percent) was much greater than the relative significance of UV intensity (34.04 percent).

However, there is a lot of controversy surrounding this solution like the use of UV light only inactivates microorganisms, but does not kill, plankton that can recover after impacting with UV light is a dangerous problem with the environment or the cost to invest in this treatment system is relatively high (Martinez et al., 2013; Moreno-Andreas et al., 2016). Filtration was a screening procedure that is very successful in the remediation of ballast water. The majority of treatment systems utilized filtration as a physical split treatment to remove bigger organisms (i.e., those with a size greater than 50  $\mu\text{m}$ ). Filters with a mesh size of fewer than 50  $\mu\text{m}$  were often used (Mitsui, 2013). Organisms and sediments could be removed from the water of ballast, by filtration steps mixed with gravity split (Matheickal et al., 2003). Filters were usually located near the ballast water pumps on ships. Salinity, turbidity, temperature, organics, vibration, and other factors had an impact on filter devices, which in turn had an impact on the subsequent stages in the system of ballast water treatment (Waite, 2003).

Screen filtration, disc filtration, and cartridge type filtering were among the newer kind of filters that are being utilized in ballast water treatment systems. Environmentally friendly filtration works by trapping microorganisms and sediments as the water flows through porous screens, filter medium, or layers of grooved disks. In any single treatment system or multi-method system, filtration was always suggested as an essential treatment option (Muntisov et al., 1993; Parsons and Harkins, 2000; Parsons and Harkins, 2002; Tang et al., 2006; Cangelosi, 2007). However, if filtration alone was used, the quality of the ballast water would not be able to achieve the IMO D-2 standard. Therefore, it was necessary to combine the filtering method with another method to meet the requirements of IMO. A mesoscale experimental system and increased plankton in ballast water have been investigated and designed (Wu et al., 2021). The mix between filter system and electro-catalysis system was considered as a ballast-water management system kind. It was determined how effective the treated ballast water was biological during holding durations of 10, 20, 30, 40, and 50 minutes. Biological effectiveness was considerably modified by holding time. After holding time in 50 minutes, the quality of ballast water released that was processed qualified according to the D-2 regulation. In addition, there are several ballast water treatment systems available on the market that combine the filtration method and some other methods such as PureBallast, BIO-SEA, RayClean BWTS, or OxyClean BWTS (Vorkapic et al., 2016).

The ballast water was heated to a temperature between 35 and 45 degrees Celsius in order to destroy any organisms present (Saglam and Duzgunes, 2018). Ballast water treatment using heat and deoxygenation was thought to be successful. However, this combination between heat-deoxygenation and filtration was believed to be very rare, as evidenced by the rare occurrence of ballast water treatment systems. This system only appeared 4 times in Australia and never appeared in the US as observed by the author (Gerhad et al., 2019). Because of the difficulties in adapting vessel tanks and the resting phases of some microorganisms that could withstand anoxia, these systems were uncommon (Holmstrup, 2006). Ballast water might be used as a resource for distillation onboard the ship to satisfy the anticipated freshwater supply need, according to theoretical studies and practical factors are also important. Gude introduced that

ballast water might be used as a resource for distillation onboard the ship to satisfy the anticipated freshwater supply need, according to theoretical studies and practical factors are also important (Gude, 2019). With the main engine capacity of 7500 kW, this could be considered as a useful waste heat source for desalination in ballast water. Ballast water management and treatment, as well as the non-renewable footprint of onboard water resources in the maritime sector, might benefit from this scenario.

### 3.2 Active substances systems

Currently, the use of active ingredients for disinfection in ballast water is still a controversial issue. There are many opinions that these substances are not harmful to the environment, but some others believe that the use of these active substances before discharging ballast water will lead to those active substances being released into the environment. Some commonly used active ingredients include Biocides, Chlorine, NaOCl, Electrolytic, and Ozone (Tsolaki and Diamadopoulus, 2010). Kim and Park conducted research to compare the sterilizing effects of electrolysis, UV therapy, and electrolysis coupled with UV for the better treatment of zooplankton (size 50 µm) (Kim and Park, 2021). Based on sterilization time, the methods are arranged in the following order: electrolysis followed by electrolysis combined UV, and finally, UV radiation. 1,300 W, 8,400 W, and 4,500 W are the amounts of electricity required to power the electrolysis, UV radiation, and UV combined electrolysis, respectively. It can be seen that, based on the sterilization time, the combination of UV and electrolysis is still behind electrolysis alone while the electricity consumption is many times higher.

*E. coli* inactivity by the mixed UV/Ag-TiO<sub>2</sub>/O<sub>3</sub> treatment was boosted, and the inactivation effectiveness was increased with increasing UV intensity and ozone dosage in 2011, who compared it with separate unit processes using ozone or UV/Ag-TiO<sub>2</sub> (Wu et al., 2011). Regarding *E. coli* inactivity in simulated ballast water, this research found that the UV/Ag-TiO<sub>2</sub>/O<sub>3</sub> method was effective. The combination UV/Ag-TiO<sub>2</sub>/O<sub>3</sub> treatment was more effective than the separate treatments in terms of inactivation. Increased UV strength and ozone exposure led to a rapid increase in *E. coli* inactivity especially during the early stages of the reaction. The amount of ozone and the concentration of total residual oxidants were proportional to each other. Water with a high TRO concentration at low temperatures remains toxic for a long time.

As an indicator bacterium, the walrus algae, *Scripsiella trochoidea*, was used in the research to evaluate the performance of various standard and advanced oxidation techniques for prospective ballast water treatment (Yang et al., 2015). Treatment with UV radiation, ozone, hydrogen peroxide, and their different combinations resulted in a stable and uniform culture. After 10 seconds of irradiation of UV radiation, microorganisms were almost inactivated. But then, re-photosynthesis of plankton occurred for all methods that used UV radiation as a phytoplankton treatment in ballast water. For O<sub>3</sub>, the deactivation efficiency was 100% after 5 minutes of treatment, however for H<sub>2</sub>O<sub>2</sub>, the inactivation efficiency was just 80% after the same period. The combination of methods was done such as the combination of Ozone and UV light or the combination of UV and H<sub>2</sub>O<sub>2</sub>. These combined methods showed an almost immediate effect when both inhibiting the photosynthesis of plankton cells and increasing the processing capacity of the method. Furthermore, this combination produced negligible residual oxidants. Therefore, in this study, the combination of UV and Ozone rays was recommended as an effective and minimally harmful ballast water treatment method.

Ozone is known as an unstable oxidizing agent and has a powerful ability to destroy harmful cells. Research demonstrated that using ozone to treat ballast water for about 5 to 10 hours reduces the amount of plankton by 71% to 99% (Tsolaki et al., 2010). Artificial seawater has been utilized in the research (Jones et al., 2006). In this study, the ozone concentration has been optimized by using artificial seawater to create a ballast water environment. This environment utilized 5 marine species in short-term batch experiments (less than 5 hours). The disaggregation and formation of TRO after resolving by ozone treatment have been calculated (Perrins et al., 2006). The result showed that ozone could effectively treat marine organisms at initial residual oxidizing concentrations of 2–5 mg/l. With ozone-based oxidants at the level of 1 to 3 mg/l, it would make the treatment of plankton in the ballast water environment remarkably effective. This reduction level was equivalent to chlorine but leaves less

environmental impact (Maddox, 2004).

## 4. DISCUSSION

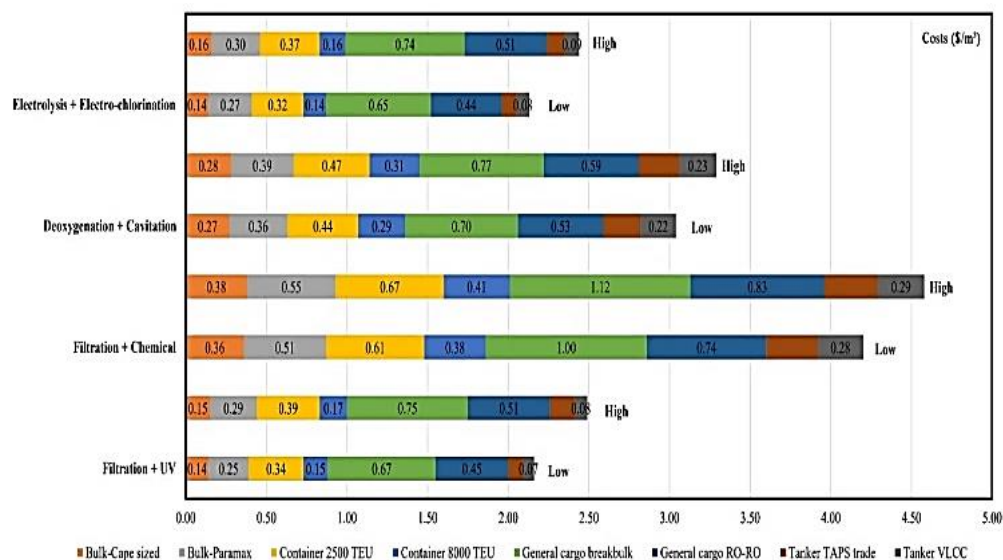
In this study, we looked at several applications for the treatment of ship ballast water before it is released into the marine environment. It can be said that these are highly practical and useful applications for the environment. However, it can be concluded from the analysis of the above applications that the combined application of several methods will yield better results than a single ballast water treatment method (Nguyen et al., 2021). So as to meet the most positive results, presented a system that controlled the ballast water and sediments (Cohen and Dobbs, 2015). This system consisted of 4 steps derived from 4 methods:

1. Filtration by mechanical sieve
2. Coagulation and flocculation make the bigger than 50 µm plankton lie down in the low layers
3. For the plankton larger than 50 µm, using the membrane filter
4. UV irradiation

Concerns have been raised concerning the BWTS's efficacy. It was worrying that these systems were not yet oriented towards human health and safety, as evidenced by the fact that 95% of ballast water treatment systems have criteria related to discharge. These criteria are almost met even though they have not been processed yet (Cohen and Dobbs, 2015). In another instance, an archetype ClO<sub>2</sub>-generating system's treatment was largely efficient against planktonic assemblages, although microorganism communities recovered after a few days of the therapy (Maranda et al., 2013). Some other arguments suggested that the active substances affected the phytoplankton based on various factors such as the alkalinity of the water and the compounds in the water (Cha, 2015). According to the findings, temperatures, and concentrations of sea ice, rather than salinity, influence habitat appropriateness for 61% of species, in contrast to the present techniques of risk assessment (11 percent) (Leidenberger, 2015). Finally, the structure of a ship was also a matter of concern. The cause was believed to be the treatment systems using UV rays and chlorine which cause corrosion in ballast water tanks due to their strong oxidizing properties (Feng et al., 2015; Feng et al., 2015). The findings of also show that the corrosive potential of UV light increases slightly while the continuous use of disinfectants can cause damage to the biofilm of the ballast tank (Wang et al., 2013).

Treatment system needs will further raise the cost of constructing a new ship. Owners of ships sometimes have difficulty deciding on the optimum ballast water treatment system for their vessels. Making the appropriate choice requires careful consideration of several things. The final decision on the best ballast water treatment system is left to the shipowner or operator, who must take into account a variety of factors, including the ship's price, type (new construction or existing vessel), ballast system capacity, and the seas and ports through which the vessel travels (Vorkapic et al., 2016). Currently, the marine environment is facing many problems and one of them is ballast water management. This has important implications for maintaining marine biodiversity and economic environments. Many ships use the method of changing ballast water at sea, especially ships on short voyages (Shapoori and Gholami, 2014). This affects the marine environment a lot, especially the coastal environment or the continental shelf. However, studies have shown that shorter cruises have a higher possibility of discovering living planktons and microbes than longer ones. To avoid the growth of invasive and other microbial pathogens, ships that exchange ballast water should also use ballast water treatment. One study in a 25-year cycle calculated the cost per cubic meter of ballast water treated before being released into the environment. The treatment options mentioned include UV combined filtration, active compound filtration, cavitation combined deoxygenation, and chlorine electrolysis combined electrolysis. Figure 3 below shows the cost for each ballast water treatment method in m<sup>3</sup> (Gude, 2019).

As shown in Figure 3, the lowest cost of ballast water treatment belongs to tankers VLCC ship while the highest cost belongs to General Cargo Breakbulk ship. This implies that the ballast water may be reused or disposed of in the maritime environment after treatment by the procedures outlined above. Higher value-added benefits may be obtained at similar treatment costs by repurposing ballast water as a source of freshwater for desalination processes.



**Figure 3:** Cost statistics for different ballast water treatment methods - low and high treatment costs are presented separately for comparison (Gude, 2019)

## 5. CONCLUSIONS

Effective management of ship ballast water is imperative in ensuring that organisms do not migrate with the ballast water to different seas. More research is needed to improve ballast water treatment technology and techniques, diversify solutions, and aim to minimize the cost per ballast water treatment system. After mechanical solutions such as filtration are used to treat ballast water, the quality of the ballast water is difficult to meet the prescribed requirements. Chemical treatment of ballast water has the advantage of killing most of the organisms in the ballast water, but when discharging the ballast, these chemicals will follow the ballast water to seawater and seriously affect the marine environment. UV treatment is very effective and does not affect the environment, but the cost is very high and it is not easy for ship owners to invest in installation. The solution using active ingredients such as biocides, electrolysis, ozone, etc. is still controversial about the impact of this method on the marine environment. Ballast water treatment engineering and technology solutions increase the cost of building a ship, so shipowners tend to choose low-cost solutions. However, with low-cost solutions, the stability of the system is not high. After a short period of operation, the system degrades in its ability to meet the requirements of the convention. Combining different methods of ballast water treatment on ships will achieve high efficiency and ensure stable operation of the system, thereby reducing costs for ship owners.

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